

Issuer Holdings Transfer Request

Complete this form to transfer issuer sponsored holdings to your Marketech trading account/HIN. Then scan/email back to support@marketech.com.au along with your copies of your **latest Issuer holding statements**.

Important note: for your conversion to be successful, the registration details on your Issuer Sponsored statements must match exactly with your Marketech registration details, including joint names, middle name/s and address.

If your Issuer holding is registered differently (even by one character), you will need to contact the relevant share registry or visit their website to amend the registration of that holding.

Please see instructions overleaf, as the process needed to complete this form may vary

Client Account Details

Account number	<input type="text"/>
Account name(s)	<input type="text"/>
Account designation (if any)	<input type="text" value="<"/> A/C>
Registered address	<input type="text"/>

Please attach all relevant copies of Issuer Sponsored Holding statements.

ASX Code	Security Name (e.g. BHP Billiton Ltd)	Shareholder Reference Number (SRN)	Quantity

Agree & Acknowledgement		
I/We Authorise OpenMarkets to transfer all of the above listed Issuer sponsored holdings to my/our OpenMarkets HIN. All persons authorised to operate the account must sign in pen as digital signatures are not permitted.		
Account Holder 1/Director/Trustee 1 Given names(s) / Surname (PLEASE PRINT)	Signature	Date
	X	
Account Holder 2/Director/Trustee 2 Given names(s) / Surname (PLEASE PRINT)	Signature	Date
	X	
Account Holder 3/Director/Trustee 3 Given names(s) / Surname (PLEASE PRINT)	Signature	Date
	X	

Appendix 1 – Form Completion Checklist

To ensure the fastest possible processing time for your request, please check:

- ✓ The registration details on your Marketech account, including name(s), account designation and address matches exactly with the details of each Issuer holding
- ✓ All account holders have signed
- ✓ For company accounts with two directors, either both directors have signed or one director and the company secretary
- ✓ Lines are drawn through any mistakes on the form and initialed by all signatories on the account. Correction fluid must not be used on this form.

If the registration name and address of the issuer holding does not exactly match the details of your Marketech account, you must amend it at the relevant share registry **before** you submit this request. Once amended, forward on a copy to Marketech along with a new Issuer Transfer Request Form.

Appendix 2 – Successful conversion instructions			
Your issuer statement has:	Marketech Account has:	Scenario:	What you need to do:
Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	A perfect match.	Nothing. The Issuer holding will be successfully transferred to your Marketech account/HIN.
Mr Dylan Grey + Mrs Sarah Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer Holding shows two holders, Marketech does not.	Either open a separate Marketech account in the name of Mr Dylan Grey + Mrs Sarah Grey or complete an Off Market Transfer Request to transfer the holding from one account name to another. (This form can be found on our website).
Mr Dylan Grey + Mrs Sarah Grey <Grey Super Fund A/C> 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey + Mrs Sarah Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding is in the name of a Super Fund, Marketech is not.	Either open a separate Marketech account in the name of Mr Dylan Grey + Mrs Sarah Grey <Grey Super Fund A/C> or complete an Off Market Transfer Request to transfer the holding from one account name to another. (This form can be found on our website).
Mr Dylan Francis Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has a middle name, Marketech does not.	Contact the relevant Share Registry and complete a Name Correction Request and Indemnity Form. Your issuer holding must have the same name as your Marketech Account.
Mr Dylan F Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has an initial, Marketech does not.	Contact the relevant Share Registry and complete an Address Notification Form and Indemnity Form. Your issuer holding must have the same name as your Marketech Account.
Mr Dylan Grey Unit 24 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey Apartment 24 68 Princes Avenue Sydney NSW 2000	Issuer holding has a different word in the address field.	Contact the relevant Share Registry and complete an Address Notification Form. Some will allow you to do this online. Your issuer holding must have the same address as your Marketech Account.
Mr Dylan Grey 12 Underwood Street Coogee NSW 2034	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has a different address to Marketech Account	Contact the relevant Share Registry and complete an Address Notification Form. Some will allow you to do this online. Your issuer holding must have the same address as your Marketech Account.